

Message Text

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ORIGIN SS-25

INFO OCT-01 ISO-00 SSO-00 /026 R

DRAFTED BY OUSDR AND E:DR. GARBER:MEM
APPROVED BY E'R:RDVINE
OASD/ISA:MAJ. INGRAM
EUR/RPM:JAFROEBE, JR.
EUR/RPM:SJLEDOGAR
S/S-O:SGOLDSMITH

-----086483 032310Z /13

O P 032250Z AUG 78
FM SECSTATE WASHDC
TO AMEMBASSY BONN IMMEDIATE
AMEMBASSY LONDON IMMEDIATE
AMEMBASSY PARIS IMMEDIATE
INFO USMISSION USNATO PRIORITY

C O N F I D E N T I A L STATE 196620

EXDIS

E.O. 11652: GDS

TAGS: OCON, NATO, MILI

SUBJECT: NATO ARMAMENTS COOPERATION: PROPOSED GROUND
RULES UNDER WEAPONS FAMILY CONCEPT

OUSDR AND E DIRECTOR FOR INTERNATIONAL PROGRAMS, DR GARBER,
REQUESTS THAT MESSAGE BELOW BE PASSED FROM HIM AS FOLLOW;S:

DAO BONN PLEASE PASS TO DR. TRIENES; DAO LONDON PLEASE
PASS TO MR. MACKLENODC PARIS PLEASE PASS TO GENERAL
CAUCHIE. BEGIN TEXT:

1. AT OUR LAST FOUR-POWER MEETING IN MID-JUNE, WE DIS-
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CUSSED THE NEED TO PREPARE GROUND RULES, OR PRINCIPLES,
FOR WEAPONS FAMILY PACKAGES. AS THESE WILL ALSO BE NEEDED
SOON FOR THE NORTH AMERICAN RESPONSE TO THE IEPG, I HAVE
DEVELOPED THE FOLLOWING, WHICH ARE NOW BEING CONSIDERED
BY OTHERS IN WASHINGTON AND BY CANADA. IF POSSIBLE, I
WOULD APPRECIATE YOUR INFORMAL REACTION TO THESE GROUND
RULES BY AUGUST 10, AND BEFORE WE SUBMIT THEM TO THE

IEPG IN MID-AUGUST.

2. BEGIN SUGGESTED GROUND RULES FOR COOPERATIVE DEVELOPMENT. THE US AND CANADA PROPOSE THAT THE FOLLOWING GROUND RULES BE EXAMINED AS

PRINCIPLES TO BE FOLLOWED IN THE COOPERATIVE DEVELOPMENT OF WEAPONS FAMILIES:

A. INFORMATION EXCHANGE. FOR EACH OF THESE WEAPONS FAMILIES THERE WOULD BE EARLY AND CONTINUING EXCHANGE BETWEEN NORTH AMERICA AND THE IEPG OF DATA AND PLANS ON FUTURE DEVELOPMENTS, AND AN EXCHANGE OF VIEWS ON EQUIPMENT REQUIREMENTS AND QUANTITY NEEDS TO FACILITATE HARMONIZATION OF REQUIREMENTS.

B. OBJECTIVES, REQUIREMENTS, SPECIFICATIONS. THE OBJECTIVES FOR EACH WEAPONS SYSTEM PROGRAM IN TERMS OF CAPABILITY, SCHEDULE AND COST SHOULD BE AGREED AMONG ALL PARTICIPANTS. SIMILARLY, ALL PARTICIPANTS SHOULD AGREE ON REQUIREMENTS AND CERTAIN SPECIFICATIONS.

C. MANAGEMENT. MANAGEMENT OF EACH WEAPONS SYSTEM PROGRAM WOULD BE THE RESPONSIBILITY OF EITHER IEPG OR NORTH AMERICA. HOWEVER, REPRESENTATIVES OF OTHER NATO ALLIES WOULD BE WELCOME TO PARTICIPATE IN THESE PROGRAMS
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ON A NON-INTERFERENCE BASIS. EVERY EFFORT WOULD BE MADE TO ACCOMMODATE THE MILITARY REQUIREMENTS OF THESE OTHER NATO ALLIES, BUT THE FINAL DECISION WOULD RESIDE WITH THE GOVERNMENTS FUNDING THE DEVELOPMENT OF THE PROGRAM AT HAND. INFORMATION OF THE FOLLOWING TYPE WOULD BE MADE AVAILABLE TO THESE REPRESENTATIVES: PERIODIC PROGRESS REPORTS, TEST AND EVALUATION DATA, OPERATIONAL USE STUDIES, COST EFFECTIVENESS, ESTIMATED UNIT COSTS AND SCHEDULES.

D. COMPETITION. WE BELIEVE WE SHOULD AVOID NEEDLESS DUPLICATION AMONG ALLIANCE DEVELOPMENT PROGRAMS, IN ORDER TO CONSERVE ALLIANCE RESOURCES AND TO IMPROVE NATO'S DEFENSE. THEREFORE, IN PROGRAM AREAS WHERE MULTIPLE SOLUTIONS ARE NOT WARRANTED (FOR REASONS SUCH AS MILITARY DIVERSITY OR OVERALL PROGRAM RISK), THE PARTIES WOULD AGREE TO REFRAIN FROM INITIATING COMPETING DEVELOPMENT PROGRAMS. HOWEVER, THERE WOULD BE NO PROHIBITION AGAINST TECHNOLOGY BASE EFFORTS (RESEARCH AND EXPLORATORY DEVELOPMENT), OR IMPROVEMENTS TO EXISTING SYSTEMS. REALISTICALLY, WE CANNOT EXPECT AN AGREEMENT OF NO COMPETITION UNDER ALL CIRCUMSTANCES (FOR EXAMPLE, IF THE

PROGRAM ENCOUNTERED MAJOR PROBLEMS SIGNIFICANTLY
JEOPARDIZING THE PROGRAM'S OBJECTIVES). SOME PROVISION
SHOULD BE MADE FOR THAT CIRCUMSTANCE.

E. FUNDING. FUNDING FOR EACH DEVELOPMENT PROGRAM WOULD
BE THE RESPONSIBILITY OF THE NATION MANAGING THE PROGRAM.
EVERY ATTEMPT WOULD BE MADE TO MAINTAIN COMMON IEPG

AND NORTH AMERICAN OBJECTIVES AND REQUIREMENTS THROUGHOUT
EACH PROGRAM. HOWEVER, SOME PROVISION FOR SHARED
(INCLUDING TRANSATLANTIC) FUNDING MIGHT BE NECESSARY IN
THE EVENT A NATION HAS UNIQUE REQUIREMENTS THAT CANNOT BE
ACCOMMODATED WITHOUT SIGNIFICANT FUNDING IMPACT. EACH
NATION RESPONSIBLE FOR FUNDING AND MANAGEMENT OF A
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PROGRAM WOULD GIVE PRIORITY BUDGET AND MANAGEMENT
ATTENTION TO THE PROGRAM.

F. TECHNOLOGY TRANSFER. TRANSATLANTIC INDUSTRIAL
TEAMING WOULD BE ENCOURAGED AND SUPPORTED TO PERMIT THE
BEST TECHNOLOGY AND IDEAS TO BE BROUGHT TO BEAR ON THE
SOLUTIONS.

G. SALES. SALES TERRITORIES FOR THESE WEAPONS SYSTEMS
WOULD BE DEALT WITH IN AGREEMENTS EMBODYING EACH WEAPONS
PROGRAM. SALES OF THESE SYSTEMS BETWEEN NORTH AMERICAN
AND IEPG COUNTRIES WOULD BE PERMITTED WITHOUT CHARGE FOR
NONRECURRING R AND D INVESTMENT. RETRANSFERS OUTSIDE
OF NATO WOULD BE SUBJECT TO RECOUPMENT.

H. LICENSED PRODUCTION. THE NEED FOR, AND EXTENT OF,
LICENSED PRODUCTION WOULD BE DEALT WITH IN AGREEMENTS
COVERING EACH WEAPONS PROGRAM. PRODUCTION BY THE IEPG
OR NORTH AMERICA WOULD BE PERMITTED, GENERALLY WITH
SOME SMALL ROYALTY CHARGE (TO BE AGREED AT THE OUTSET)
FOR SALES WITHIN NATO, AND SOME GREATER CHARGE FOR
SALES OUTSIDE OF NATO. END SUGGESTED GROUND RULES.

3. I AM ALSO SENDING THIS MESSAGE TO (ACTION ADDRESSEES
SHOULD ADD NAMES OF OTHER TWO RECIPIENTS AS APPROPRIATE),
BUT BELIEVE -- AS WE AGREED IN JUNE -- THAT WE SHOULD
NOT DISCUSS THIS WITH OTHER IEPG MEMBERS AT THIS TIME.

END TEXT.

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Message Attributes

Automatic Decaptioning: Z
Capture Date: 01 jan 1994
Channel Indicators: n/a
Current Classification: UNCLASSIFIED
Concepts: RULES, ARMS
Control Number: n/a
Copy: SINGLE
Draft Date: 03 aug 1978
Decaption Date: 20 Mar 2014
Decaption Note: 25 YEAR REVIEW
Disposition Action: RELEASED
Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW
Disposition Date: 20 Mar 2014
Disposition Event:
Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1978STATE196620
Document Source: CORE
Document Unique ID: 00
Drafter: DR. GARBER:MEM
Enclosure: n/a
Executive Order: GS
Errors: N/A
Expiration:
Film Number: D780319-0486
Format: TEL
From: STATE
Handling Restrictions:
Image Path:
ISecure: 1
Legacy Key: link1978/newtext/t197808100/aaaadgko.tel
Line Count: 166
Litigation Code IDs:
Litigation Codes:
Litigation History:
Locator: TEXT ON-LINE, ON MICROFILM
Message ID: b0c58457-c288-dd11-92da-001cc4696bcc
Office: ORIGIN SS
Original Classification: CONFIDENTIAL
Original Handling Restrictions: EXDIS
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a
Page Count: 4
Previous Channel Indicators: n/a
Previous Classification: CONFIDENTIAL
Previous Handling Restrictions: EXDIS
Reference: n/a
Retention: 0
Review Action: RELEASED, APPROVED
Review Content Flags:
Review Date: 24 jun 2005
Review Event:
Review Exemptions: n/a
Review Media Identifier:
Review Release Date: n/a
Review Release Event: n/a
Review Transfer Date:
Review Withdrawn Fields: n/a
SAS ID: 1542673
Secure: OPEN
Status: NATIVE
Subject: NATO ARMAMENTS COOPERATION: PROPOSED GROUND RULES UNDER WEAPONS FAMILY CONCEPT OUSDR AND E DIRECTOR FOR INTERNATIONAL PROGRAMS, D
TAGS: OCON, MILI, NATO
To: BONN LONDON MULTIPLE
Type: TE
vdkgvkey: odb://SAS/SAS.dbo.SAS_Docs/b0c58457-c288-dd11-92da-001cc4696bcc
Review Markings:
Sheryl P. Walter
Declassified/Released
US Department of State
EO Systematic Review
20 Mar 2014
Markings: Sheryl P. Walter Declassified/Released US Department of State EO Systematic Review 20 Mar 2014